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**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Debbie Prout

Applicant

: In-Ho Lee, et al.

Confirmation No. 1570

Application No.

: 10/747,661

Filed

: December 29, 2003

Title

: SUBSTRATE FOR IMMOBILIZING PHYSIOLOGICAL MATERIAL,

AND A METHOD OF PREPARING THE SAME

Grp./Div.

: 1712

Examiner

: Kuo Liang Peng

Docket No.

: 51330/Y35

# INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER § 1.97(e)(1)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Post Office Box 7068 Pasadena, CA 91109-7068 April 13, 2006

#### Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B with a listing of references that are known to applicant.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant also requests that an initialed copy of said FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Applicant's undersigned attorney hereby certifies, in accordance 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited in

## **Application No. 10/747,661**

any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,
CHRISTIE, PARKER & HALE, LLP

D. Bruce Prout
Reg. No. 20,958

626/795-9900

DBP/djp

Enclosures: PTO/SB/08A/B

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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B APR 7 8 2006

## INFORMATION DISCLOSURE

### STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	51330/Y35	
Application Number	10/747,661	
Filing Date	December 29, 2003	
Applicant(s)	In-Ho Lee, et al.	
Group Art Unit	1712	
Examiner Name	Kuo Liang Peng	

U.S. PATENT DOCUMENTS					
EXAMINER Cite DOCUMENT NUMBER PUBLICATION DATE					
INITIALS	No. <sup>1</sup>	Number - Kind Code <sup>2</sup> (If Known)	MM-DD-YYYY	NAME OF PATENTEE	
		5,389,496	02-14-1995	Calvert et al	
		US 2002/0132045 A1	09-19-2002	Halas et al	

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No.1	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	(*)

OTHER DOCUMENTS			
EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	

DJP PAS677339.1-\*-04/13/06 10:36 AM

EXAMINER SIGNATURE	DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

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